

02-14-01

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EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	MARGARET D. PIERCE	Express Mail No.	EL 563 388 483 US
Signature	<i>M. Pierce</i>	Date	2/12/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO.	24353
Docket No.	6510-096CIP3
First Named Inventor	Mahley, et al.
Title:	Compounds Effecting Neuron Remodeling and Assays for Same

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents


Address to: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

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|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <u>73</u>
(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <u>13</u></p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Sheets <u>02</u></p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) c. <input checked="" type="checkbox"/> Unsigned | <p>5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)</p> <p>6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies |
|---|---|

ACCOMPANYING APPLICATION PARTS

- | | |
|---|---|
| 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 8. <input type="checkbox"/> 37 CFR 3.73(b) Statement
(when there is an assignee) | <input type="checkbox"/> Power of Attorney |
| 9. <input type="checkbox"/> English Translation Document (if applicable) | |
| 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| 11. <input type="checkbox"/> Preliminary Amendment | |
| 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) | |
| 13. <input type="checkbox"/> Small Entity Statement(s) | <input type="checkbox"/> Statement filed in prior application Status still proper and desired |
| 14. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) | |
| 15. <input type="checkbox"/> Other: | |

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/070,675, filed April 30, 1998, which application is a CIP of application serial No. 08/659,785, filed January 19, 1996, which application is a CIP of application serial No. 60/005,550, filed October 17, 1995.

SIGNATURE of Applicant or Assignee of Record	
Individual Name	Paula A. Borden
Registration No.	42,344
Signature	
Date	Feb. 12 2001

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FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

CUSTOMER NO. 4353

Attorney Docket Number 0510-096CIP3

Confirmation Number N/A

First Named Inventor Mahley, et al.

Application Number N/A

Filing Date Herewith

Group Art Unit N/A

Examiner Name N/A

METHOD OF PAYMENT

1. ☐ A Check Is Enclosed
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	710	201	355	Utility filing fee	\$
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$ 355

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 28	20	08	9	\$72
Ind. claims 14	03	11	40	\$440
Multiple Dependent claims		x		

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2) \$512				

3. ADDITIONAL FEES

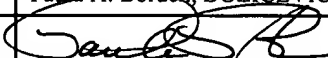
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	890	217	445	Ext. for reply within third month	
128	1,890	228	945	Ext. for reply within fifth month	
120	310	220	155	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,240	242	620	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	710	246	355	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	440	243	220	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	390	216	195	Ext. for reply within second month	
118	1,390	218	695	Ext. for reply within fourth month	
119	310	219	155	Notice of Appeal	
121	270	221	135	Request for oral hearing	
141	1,240	241	620	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	180	126	180	Submission of IDS	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	600	244	300	Plant issue fee	
Other fee (specify)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$00.00

TOTAL AMOUNT TO BE CHARGED (\$) 867.00

SUBMITTED BY

Typed or Printed Name	Signature	Date	Reg. Number	Deposit Account
Paula A. Borden, BOZICEVIC, FIELD & FRANCIS LLP		Feb. 12, 2001	42,344	50-0815